File No.:

2993-520US RM/JR/as

Montreal, Canada

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Michael WHITING

Serial No.:

unknown

Filed:

submitted with initial filing

Title:

FORCED AIR COOLING SYSTEM

## <u>UNDER 37 C.F.R. § 1.97</u>

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on a PTO-1449 form is a listing of the documents known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. § 1.56.

The submission of any document which is not a statutory bar is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a <u>prima facie</u> prior art reference against the claims of the present application.

## Statement of Relevancy

The listed document is being submitted either in compliance with 37 C.F.R. §1.97(b), within three (3) months of the filing date of a national application or of the date of entry of the national stage in an international application; or pursuant to 37 C.F.R. §1.97(e)(1), within three (3) months from the date of an office action or of a search report issued in a foreign counterpart application citing each of the documents contained in the present statement; or pursuant to 37 C.F.R. §1.97(e)(2), within three (3) months from the first knowledge of each submitted document by any individual designated in C.F.R. §1.56(c), when each such document was not cited in a communication from a foreign patent office in a counterpart foreign application.

In the case of submission under 37 C.F.R. §1.97(e)(1) and (2), the undersigned Attorney/Agent of Record hereby certifies that the list of references is hereby submitted within three months (1) from the issuance of the foreign action or search report, or (2) from said first knowledge, respectively.

Respectfully,

April 15, 2004

Date

Agent of the Applicant

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Substitute for form 1449A and B/PTO				Complete if Known		
				Application Number		
INFORMATION DISCLOSURE			CLOSURE	Filing Date		
STATEMENT BY APPLICANT				First Named Inventor	Michael WHITING	
				Art Unit		
(use as many sheets as necessary)			ecessary)	Examiner Name		
Sheet	1	of	1	Attorney Docket Number	2993-520US RM/JR/as	

	U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		US-2,706,255	04-12-1955	D. K. Breaux et al	
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	FOREIGN PATENT DOCUMENTS					
Examiner Cite Initials* No.1		Foreign Patent Document  Country Code3 – Number4 – Kind Code5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant Of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
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Examiner Signature	Date Considered	
- 3		

<sup>\*</sup>EXAMINER; Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. DOCSMTL: 1335145\1